Profile:

Terry Nelson |

Business Philosophy

It is vitally important that your financial advisor is both highly educated and dedicated specifically to you and your family in helping you achieve your financial goals and dreams. That's why we work with only a small number of clients, so you can be assured of receiving the Personal Service, Time, Attention, and Commitment you need to achieve financial security today and in the future. With a CFP, a Masters Degree in Financial Planning and more than 20 years of experience, I am a trusted advisor who can help you achieve the financial peace of mind that comes from knowing your hopes and dreams are secure at each stage of your life.

Financial Background

I have the following degrees or years of college:	Eau Claire Community College (Law Enf orcement) MSU ? BS (Finance) College for Financial Planning (Masters Degree in Financial Planning)
I have the following years of financial services experience:	22
I hold following certifications and designations:	CFP ® Masters Degree in Financial Planning
I am a member of the following associations:	FPA CFP Board of Standards
I am a Sole practitioner	

Comments

In addition to having the confidence that your financial advisor cares about your family?s financial future, you also need to know that he has the knowledge and experience to help you achieve your financial goals. In addition to being a CFP and having a Masters Degree in Financial Planning, I am also employed as an Adjunct Faculty Member of the College of Financial Planning where I teach advanced investment courses for the Masters Degree Program. In 2002, the College of Financial Planning named me their ?Most Outstanding Graduate?.

Licensing and Compliance

I am Registered Investment Advisor:	Yes
I am an Investment Advisor Representative:	No
I acknowledge I am a fiduciary	Yes
I take discretion for investment decisions:	No
I hold the following security licenses:	None
My CRD or IARD number is:	None
I hold the following insurance licenses:	None
My Insurance license number:	None
My compliance record has:	No Disclosures
My criminal record has:	No Disclosures

I agree to provide full disclosure to all investors who hire me through the Registry. The disclosures include: Potential conflicts of interest, compensation, and investment expenses.

Comments

At the heart of your relationship with a financial advisor is ?trust.? Therefore you should know that as a CFP and a Registered Investment Advisory Firm, I am an acknowledged fiduciary. This means I am held to the highest ethical standards in the financial services industry and that I must always put your interest above my own. We are proud to be able to deliver this level of service on a daily basis! Since I always put my client?s interest first, I am proud say that I have a completely clean compliance record with all regulatory agencies and governing boards.

Minimums and Compensation

My minimum asset requirement for investment services is:

My minimum asset requirement for investment services is: $ 250,000

My method(s) of compensation for my services are as follows:

Planning services:	Asset-based fee Fixed fee Hourly fee
Investment Services:	Asset-based fee Fixed fee Hourly fee
Project Services:	Fixed fee,Hourly fee

Comments

My firm's only method of compensation is a fair and reasonable fee, just like your relationship with other professionals such as attorneys and CPAs. Because we receive no compensation outside of a simple direct fee, we have none of the conflicts of interest that impact commission representatives.

Professional Services

Financial Services:

- Planning services
- Investment advisory services

Planning services:	Financial, Retirement, College We also do project work as needed when I have the time.
Investment services:	Strategy development, Written investment policy, Asset allocation, Asset management,

	Performance reporting, Tax efficient strategies
Project services:	Financial planning by the hour, Financial tune-ups

Comments

For more than 20 years, our professional services have been helping clients achieve peace of mind in setting and achieving their most important financial goals. While I am your primary advisor, when I need additional expertise, I work with other professionals such as tax and estate planning specialists. By coordinating our work, we can provide integrated solutions that help you achieve your goals.

Client Services

I provide financial services to the following number of clients	20
I provide financial services to the following amount of assets	$ 10,000,000
I supplement meetings with telephone calls on an as needed basis	
I provide services to remote clients who do not require face-to-face meetings	

Comments

In all of the services that I provide, the constant theme is ?the relationship is all about serving you, so your interest?s always come first!? We provide as much contact and reporting as you want, to keep you fully informed about the performance of your assets and market events. I know our clients have financial peace of mind when they are kept fully informed and I am readily available to answer questions and attend meetings. Because I focus on high levels of client service, instead of large numbers of clients, I can offer my clients an unprecedented level of personalized service.

Certification

☑ I certify that all of the information in my professional profile is complete, accurate, and current.

Name:	Terry Nelson
Most Recent Update:	01-09-2008